

Corporate Compliance Training - Post-Test

Name

Date

1. Which of the following are elements of an effective compliance plan?
☐ Designation of a compliance officer
☐ Internal monitoring and auditing
☐ Procedures for reporting violations of the Corporate Compliance Plan
☐ All of the above are elements of an effective plan

2. Federal law requires companies like STIC to have a compliance plan because of its relationship to federal insurance programs such as Medicaid?
☐ True
☐ False

3. Who is responsible for Corporate Compliance?
☐ All STIC Employees
☐ The Corporate Compliance Officer
☐ The staff on the Corporate Compliance Committee
☐ None of the Above

4. Which of the following are benefits of a Corporate Compliance Program? (There is more than one answer)
☐ Provides guidance to employees regarding appropriate behavior as it relates to fraud and abuse.
☐ Improves the quality, efficiency and consistency of services.
☐ Encourages employees to engage in unethical behavior
☐ Encourages employees to report potential problems.

5. The Corporate Compliance Officer for the agency is?
☐ Lucretia Hesco
☐ Sheila Loftus
☐ Casey Flanagan
☐ Ken Dibble

6. Which of the following are considered appropriate billing practices?
☐ Billing for services not provided
☐ Billing for the level of service that is medically necessary
☐ Misrepresenting the service that was provided
☐ Billing for non-covered services as covered items

7. If you file a corporate compliance complaint, you cannot be retaliated against. This is called the Whistleblower Law.
- ☐ True
- ☐ False
8. Which of the following are true statements about disciplinary action related to corporate compliance? (There is more than one answer)
- ☐ Every confirmed act of non-compliance may result in corrective action or discipline.
- ☐ An employee cannot be terminated because of a violation of the Corporate Compliance Plan.
- ☐ Any individual that knowingly commits or is involved in any type of non-compliance will be subject to disciplinary action up to and including termination
- ☐ Any individual that knowingly commits or is involved in any type of non-compliance may be subject to legal action.
9. In some cases STIC is required by law to make a "self-disclosure" to an oversight agency if we become aware of a violation of the Corporate Compliance Plan
- ☐ True
- ☐ False
10. Employees are to be trained on Corporate Compliance annually.
- ☐ True
- ☐ False

Acknowledgement of Receipt

By signing below, I acknowledge that I have received training on Corporate Compliance from the Southern Tier Independence Center. As part of this training, I have received access to a copy of STIC's Corporate Compliance Plan and Code of Conduct. I also acknowledge that I understand the information from the training is in the document and agree to abide by the terms of said document.

Name: _____

Signature: _____ Date: _____